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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Thomas J. Kennedy, III  
Serial Number: 10/748,052  
Filed: 12/30/2003  
Examiner: Not Yet Assigned  
Art Unit: 3711  
Title: Golf Club Having Stepped Grooves

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA  
22313-1450

SIR:

- ☒ 1 Pursuant to 37 CFR 1.97(b)  
[within 3 months of filing or prior to 1st Office Action]
- ☐ 2 Certification Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
- ☐ 3 Fee Payment Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
- ☐ 4 Certification & Fee Payment Pursuant to 37 CFR 1.97(d)  
[after mailing of Final Office Action or before issue fee payment]

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 & 1.98:

- ☒ 5 A list of documents on form PTO-1449 and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☒ 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]

**CERTIFICATE OF MAILING (37 C.F.R. 1.8a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Commissioner of Patents, P.O. Box 1450 Alexandria, VA 22313-1450, on this 27<sup>th</sup> day of May, 2004.

Leslie A. Figueroa

☐ 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier];

☐ a. The required certification made in item 9 below;

or

☐ b. The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 10 below.

☐ 8 37 CFR §1.97(d): [after mailing of Final Office Action and before issue fee payment];  
and

☐ a. The required Certification is stated in item 9 below and

☐ b. The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 10 below.

☐ 9 Certification

☐ a. Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or

☐ b. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

☒ 10 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to **Deposit Account No.17-0150**.

Respectfully submitted,

Thomas J. Kennedy, III

Date: May 24, 2004  
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Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449/PTO

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Sheet	1	of	1
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**Complete if Known**

Application Number	10/748,052
Filing Date	12/30/2003
First Named Inventor	Kennedy, III
Art Unit	3711
Examiner Name	Not Yet Assigned
Attorney Docket Number	P-6280

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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